# CS 171: Problem Set 3

Due Date: February 15th, 2024 at 8:59pm via Gradescope

#### 1. Pseudorandom Functions

Let  $f: \{0,1\}^n \times \{0,1\}^n \to \{0,1\}^n$  be a pseudorandom function (PRF). For the functions f' below, either prove that f' is a PRF (for all choices of f), or prove that f' is not a PRF.

- (a)  $f'_k(x) := f_k(0||x)||f_k(1||x)$ .
- (b)  $f'_k(x) := f_k(0||x)||f_k(x||1).$

### Solution

(a) Yes, f' is a PRF. Suppose for the purpose of contradiction that f' is not a PRF. Then, there exists a PPT  $\mathcal{A}$  that breaks the PRF security of f'. Construct PPT  $\mathcal{B}$  using  $\mathcal{A}$  to break the PRF security of f as follows:  $\mathcal{B}$  runs  $\mathcal{A}$  internally. To answer  $\mathcal{A}$ 's queries for x,  $\mathcal{B}$  queries the oracle (or challenger) with input 0||x and 1||x to get back  $y_0$  and  $y_1$ .  $\mathcal{B}$  then responds  $y_0||y_1$  to  $\mathcal{A}$ . Finally,  $\mathcal{B}$  outputs whatever  $\mathcal{A}$  outputs.

By definition,  $\mathcal{B}$  querying  $f_k(\cdot)$  gives  $\mathcal{A}$  access to  $f'_k(\cdot)$ . If  $\mathcal{B}$  is querying a random function  $F: \{0,1\}^n \to \{0,1\}^n$ , this gives  $\mathcal{A}$  access to a random function  $F': \{0,1\}^{n-1} \to \{0,1\}^{2n}$ , where F' is defined as F'(x) = F(0||x|||F(1||x)) (this defines a one-to-one mapping from random F to random F'). Therefore,

$$\left| \Pr[\mathcal{B}^{f_k(\cdot)}(1^n) = 1] - \Pr[\mathcal{B}^{F(\cdot)}(1^n) = 1] \right| = \left| \Pr[\mathcal{A}^{f'_k(\cdot)}(1^{n-1}) = 1] - \Pr[\mathcal{A}^{F'(\cdot)}(1^{n-1}) = 1] \right|$$

$$\geq \text{nonnegl}(n)$$

Hence  $\mathcal{B}$  breaks the PRF security of f, contradiction.

(b) No. Construct  $\mathcal{A}$  to break f': it queries for  $x = 0 \dots 0$  and  $x = 0 \dots 01$ .

### 2. Weak CPA Security

Consider a weaker definition of CPA security where in the indistinguishability experiment the adversary  $\mathcal{A}$  is not given oracle access to  $\mathsf{Enc}_k(\cdot)$  after choosing  $m_0, m_1$ . That is,  $\mathcal{A}$  can only query  $\mathsf{Enc}_k(\cdot)$  in phase 1, but not in phase 2. We call this definition weak-CPA-security. Prove that weak-CPA-security is equivalent to CPA-security (i.e., Definition 3.22 in the textbook).

Hint: Begin by showing via a hybrid argument that any A interacting in the usual CPA game cannot distinguish whether its phase 2 queries are answered honestly (that is, if the response to the query m is  $Enc_k(m)$  or an encryption of 0;  $Enc_k(0)$ ).

**Solution** One of the directions is easy to see. We will show that weak-CPA-security implies CPA security.

Consider an encryption scheme (Gen, Enc, Dec) for message space  $\mathcal{M}$  that is weak-CPA secure. We will now show that it is CPA secure via a hybrid argument. Specifically, we will define a sequence of hybrids starting with the hybrid which corresponds to the CPA experiment with the bit b=0 and end with a hybrid which corresponds to the CPA experiment with the bit b=1. We will show that each of the intermediate hybrids are indistinguishable from the weak CPA security of the encryption scheme.

 $\underline{\mathsf{Hyb}_0}$ : This corresponds to the standard CPA experiment where the bit b=0. More formally, for any adversary  $\mathcal{A}$ ,

- 1. A key k is generated by running  $Gen(1^n)$ .
- 2. The adversary  $\mathcal{A}$  on input  $1^n$  and oracle access to  $\mathsf{Enc}_k(\cdot)$  produces a pair of messages  $m_0, m_1$ .
- 3.  $c^*$  is generated as  $\operatorname{Enc}_k(m_0)$ .
- 4. The adversary A continues to have oracle access to  $\mathsf{Enc}_k(\cdot)$  and outputs a bit b'.
- 5. The output of the experiment is defined to be b'.

We now give the next hybrid.

 $\overline{\text{Hyb}_1}$ : This is identical to the previous hybrid except that the last query to the encryption oracle (say on a message m) in Phase-2 is answered as  $\text{Enc}_k(m^*)$  where  $m^*$  is an arbitrary message in  $\mathcal{M}$ . More formally, for any adversary  $\mathcal{A}$ ,

- 1. A key k is generated by running  $Gen(1^n)$ .
- 2. The adversary  $\mathcal{A}$  on input  $1^n$  and oracle access to  $\mathsf{Enc}_k(\cdot)$  produces a pair of messages  $m_0, m_1$ .
- 3.  $c^*$  is generated as  $\operatorname{Enc}_k(m_0)$ .
- 4. The adversary  $\mathcal{A}$  continues to have oracle access to  $\mathsf{Enc}_k(\cdot)$  except that for the last query on a message  $m \in \mathcal{M}$ , we answer it as  $\mathsf{Enc}_k(m^*)$  for some arbitrary  $m^* \in \mathcal{M}$ . The adversary outputs b'
- 5. The output of the experiment is defined to be b'.

More generally, we define  $\mathsf{Hyb}_i$  as follows:

# $\mathsf{Hyb}_j:$

- 1. A key k is generated by running  $Gen(1^n)$ .
- 2. The adversary  $\mathcal{A}$  on input  $1^n$  and oracle access to  $\mathsf{Enc}_k(\cdot)$  produces a pair of messages  $m_0, m_1 \in \mathcal{M}$ .
- 3.  $c^*$  is generated as  $\operatorname{Enc}_k(m_0)$ .

- 4. The adversary  $\mathcal{A}$  continues to have oracle access to  $\mathsf{Enc}_k(\cdot)$  except that for the last j queries to the encryption oracle, we answer them as independent encryptions of  $m^*$ . The adversary outputs b'
- 5. The output of the experiment is defined to be b'.

We now show that for any  $j \in [q]$  where q is the number of queries that adversary makes in phase-2,  $\mathsf{Hyb}_j$  is computationally indistinguishable to  $\mathsf{Hyb}_{j-1}$ .

**Claim 0.1** Assume that (Gen, Enc, Dec) satisfies the weak CPA security definition. Then, for any adversary A and  $j \in [r]$ , there exists a negligible function  $negl(\cdot)$ 

$$|\Pr[\mathsf{Hyb}_{j-1} \ outputs \ \mathit{1}] - \Pr[\mathsf{Hyb}_{j} \ outputs \ \mathit{1}] \leq \mathsf{negl}(n)$$

**Proof** Assume for the sake of contradiction that there exists an adversary  $\mathcal{A}$  and  $j \in [r]$  such for every negligible function  $\mathsf{negl}(\cdot)$ ,

$$|\Pr[\mathsf{Hyb}_{j-1} \text{ outputs } 1] - \Pr[\mathsf{Hyb}_{j} \text{ outputs } 1] \ge \mathsf{negl}(n)$$

We will now use such an adversary  $\mathcal{A}$  and the corresponding j, to construct an adversary  $\mathcal{B}$  against the weak CPA security definition of (Gen, Enc, Dec). We now give the description of  $\mathcal{B}$ .

### Description of $\mathcal{B}$ .

- 1.  $\mathcal{B}$  on input  $1^n$ , starts running  $\mathcal{A}$  on input  $1^n$ .
- 2. Phase-1 oracle queries. For every query that  $\mathcal{A}$  makes to the the encryption oracle in phase-1,  $\mathcal{B}$  answers them using its own encryption oracle. Specifically, for every message m that  $\mathcal{A}$  queries to  $\mathsf{Enc}_k(\cdot)$  oracle,  $\mathcal{B}$  submits m as the message to its  $\mathsf{Enc}_k(\cdot)$  oracle and obtains the response. It forwards this response to  $\mathcal{A}$ .
- 3. Challenge Messages.  $\mathcal{A}$  now submits two messages  $m_0, m_1$ .  $\mathcal{B}$  queries its encryption oracle on  $m_0$  and obtains the response and gives it to  $\mathcal{A}$ .
- 4. **Phase-2 oracle queries.** For every query except that last j queries that  $\mathcal{A}$  makes to the encryption oracle,  $\mathcal{B}$  answers them exactly as in phase-1. When the  $\mathcal{A}$  asks its (q-j+1)-th query on a message m,  $\mathcal{B}$  does the following. It makes (j-1) queries to its encryption oracle on  $m^*$  and obtains the corresponding ciphertexts. It then produces  $(m, m^*)$  as the challenge messages to the weak CPA security challenger and obtains  $c^*$  as the challenge ciphertext. It returns  $c^*$  as the response to the (q-j+1)-th query. For the last (j-1) queries, it uses the encryptions obtained on  $m^*$  to answer them.
- 5.  $\mathcal{A}$  finally outputs a bit b' and  $\mathcal{B}$  outputs this bit.

Now, note that if  $c^*$  is an encryption of the message m, then the view of  $\mathcal{A}$  is identically distributed to  $\mathsf{Hyb}_{j-1}$ . On the other hand, if  $c^*$  was an encryption of the message  $m^*$ , then the view of  $\mathcal{A}$  is identically distributed to  $\mathsf{Hyb}_j$ . Thus, if for every negligible function,

$$|\Pr[\mathsf{Hyb}_{j-1} \text{ outputs } 1] - \Pr[\mathsf{Hyb}_{j} \text{ outputs } 1] \geq \mathsf{negl}(n)$$

then, for every negligible function  $negl(\cdot)$ 

$$\Pr[PrivK_{\mathcal{B},\Pi}^{Wcpa}=1] \geq 1/2 + \mathsf{negl}(n)$$

and this contradicts the weak CPA security of  $\Pi = (Gen, Enc, Dec)$ .

$$\begin{split} |\Pr[\mathsf{Hyb}_0 \text{ outputs } 1] - \Pr[\mathsf{Hyb}_q \text{ outputs } 1]| &\leq \sum_{j \in [q]} |\Pr[\mathsf{Hyb}_{j-1} \text{ outputs } 1] - \Pr[\mathsf{Hyb}_j \text{ outputs } 1]| \\ &\leq q \cdot \mathsf{negl}(n) \text{ (from Claim } 0.1) \\ &= \mathsf{negl'}(n) \end{split}$$

Now, notice that in  $\mathsf{Hyb}_q$ , all the phase two queries of  $\mathcal{A}$  are answered with encryptions of an arbitrary message  $m^*$ . Thus, via an identical argument as in Claim 0.1, we can show that  $\mathsf{Hyb}_q$  is computationally indistinguishable to  $\mathsf{Hyb}^*$  where the challenge ciphertext that was given to  $\mathcal{A}$  is an encryption of  $m_1$ . Now, again via a same argument as before, we can show that  $\mathsf{Hyb}^*$  is computationally indistinguishable to the standard CPA security game where b=1. Thus, (Gen, Enc, Dec) is standard CPA secure.

# 3. Modes of operations are not CCA-Secure

Show that the CBC and CTR modes of encryption are not CCA-secure.

### Solution

- 1. **CBC:** Define an adversary  $\mathcal{A}$  that outputs the messages  $m_0 = 0^n$  and  $m_1 = 1^n$  to the challenger, and receives a challenge ciphertext (IV, c). Note that for CBC mode, we have  $c = F_k(IV \oplus m_b)$ . The adversary then issues a decryption query for the ciphertext  $(0^n, c)$ . This is a valid query since  $IV \neq 0^n$  with overwhelming probability. Now, the result for this query is  $m' = F_k^{-1}(c) \oplus 0^n$  which turns out to just be IV. The adversary then computes  $m' \oplus IV$  this is either  $m_0$  or  $m_1$ , which allows the adversary to guess the correct bit.
- 2. **CTR:** Define an adversary  $\mathcal{A}$  that outputs the messages  $m_0 = 0^n$  and  $m_1 = 1^n$  to the challenger, and receives a challenge ciphertext (IV, c). Note that for CTR mode, we have  $c = F_k(IV + 1) \oplus m_b$ . The adversary then issues a decryption query for the ciphertext  $(IV, 0^n)$ . This is a valid query since  $c \neq 0^n$  with overwhelming probability. Now, the result for this query is  $m' = F_k(IV + 1) \oplus 0^n$ , which turns out to just be  $F_k(IV + 1)$ . The adversary then computes  $m' \oplus c$  this is either  $m_0$  or  $m_1$ , which allows the adversary to guess the correct bit.

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