

# Midterm II Review Session

## CS 171

March 15, 2024



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- 1 Message Authentication Codes (MACs)
- 2 Collision-Resistant Hash Functions (CRHFs)
- 3 One-Way Functions (OWFs)
- 4 Public-Key Encryption (PKE)
- 5 Key Exchange



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# MAC: The Concept

So far in the class, we've precisely defined confidentiality for end-to-end encrypted messaging with *symmetric-key encryption*.

But how can we guarantee the **integrity** of a ciphertext?

A Message Authentication Codes (MAC) is a keyed checksum, which is sent along with the message. It takes in a fixed-length secret key and an arbitrary-length message, and outputs a fixed-length checksum. A secure MAC has the property that any change to the message will render the checksum invalid.



# MAC: Definition

A MAC scheme consists of 3 PPT algorithms (Gen, MAC, Verify):

- $Gen(1^n)$ : Outputs a key  $k$ .
- $MAC_k(m)$ : Outputs a tag  $t$ .
- $Verify_k(m; t)$ : Outputs 0/1.

These satisfy 2 properties:

- 1 **Correctness:**  $\forall n; k \leftarrow Gen(1^n); \forall m \in \{0,1\}^*$ , we have that  $Verify_k(m; MAC_k(m)) = 1$ .
- 2 **Security:**  $Verify_k(m; t)$  outputs 1 if and only if  $MAC_k(m) = t$ .



# MAC: Security Game

The adversary's goal is to **forge** a MAC. The adversary wins only if they output a valid tag on a message that was never previously queried.

The game is between a challenger  $C$  and the adversary  $A$ .

$\text{MACForge}_{A,\Pi}(1^n)$ :

- 1  $C$  samples  $k \leftarrow \text{Gen}(1^n)$ .
- 2  $A$  makes  $\text{MAC}$  queries to the challenger. Let  $M$  be the list of queries  $A$  makes.
- 3 Finally,  $A$  outputs  $(m ; t)$ .
- 4  $C$  outputs 1 if  $\text{Verify}(m ; t) = 1 \wedge m \notin M$  and 0 otherwise.



# MAC: Security Definition

$\Pi = (\text{Gen}; \text{MAC}; \text{Verify})$  is existentially unforgeable under the adaptive chosen attack if  $\delta$  PPT  $A$  it holds that:

$$\Pr[\text{MACForge}_{A;\Pi} = 1] \leq \text{negl}(n)$$



- 1 If you are asked to construct a new  $MAC^0$  and prove its security:
  - Use the system from the proof workshop where your secure underlying building block is the  $MAC$ .
  - Assume there is an adversary  $A$  that breaks  $MAC^0$ .
  - Construct an external adversary  $B$  that simulates the MACForge game for  $A$  and uses this to break  $MAC$ . Contradiction!
  - **Hint:**  $B$  can *tinker* with the what it gets from  $A$  and what it forwards from its oracle to  $A$ .
- 2 There can be interesting **variations** of unforgeability such as strong unforgeability from Discussion 6, Q2: Adversary can win even if they output a valid tag on a message that was previously queried.
- 3 You can be asked to **compare** the security properties of the MAC security definition with a new primitive.
  - E.g. define a primitive  $x$  that is not a  $MAC$ .





# MAC: Practice Problem (Part (a))

Spring 2021 MT2 Q2

Consider a “CCA-style” extension to the definition of secure message authentication codes, where the adversary is provided with both a *MAC* and a *Verify* oracle. Our starting point will be the “standard” notion of MAC security, called “existential unforgeability under adaptive chosen-message attacks,” and we will consider a variant of this definition that allows for *Verify* oracle queries.

(a) Provide a formal definition of CCA-secure MACs. That is, describe an experiment called  $\text{CCA\_Mac\_Forge}_{A;\Pi}(n)$ , and provide a security requirement stating that no adversary can win your game except with negligible probability.



# MAC: Practice Problem (Part (a) Solution)

(a) Provide a formal definition of CCA-secure MACs. That is, describe an experiment called  $\text{CCA\_Mac\_Forge}_{A;\Pi}(n)$ , and provide a security requirement stating that no adversary can win your game except with negligible probability.

- 1 The challenger samples  $k \leftarrow \text{Gen}(1^n)$ .
- 2 The adversary  $A$  is given input  $1^n$  and oracle access to  $\text{Mac}_k(\cdot)$  and  $\text{Verify}_k(\cdot; \cdot)$ . The adversary eventually outputs a pair  $(m; t)$ . Let  $Q$  denote the set of all queries that  $A$  asked to its  $\text{Mac}_k(\cdot)$  oracle.
- 3 The output of the experiment is defined to be 1 if and only if (1)  $\text{Verify}_k(m; t) = 1$  and (2)  $m \notin Q$ .

$\Pi$  is a CCA-secure MAC if for all adversaries  $A$ ,

$$\Pr[\text{CCA\_Mac\_Forge}_{A;\Pi}(n) = 1] = \text{negl}(n):$$



# MAC: Practice Problem (Part (b))

(b) Assume that  $\Pi$  is a standard secure *deterministic* MAC that has *canonical verification*, meaning that i) the Mac algorithm is deterministic and ii) the Verify algorithm, on input  $(m; t)$ , recomputes  $t^\theta := \text{Mac}_K(m)$  and accepts if  $t^\theta = t$ . Prove that  $\Pi$  also satisfies your definition from part (a).



# MAC: Practice Problem (Part (b) Solution)

When  $\Pi$  is deterministic and has canonical verification, each message has only a single valid tag. Thus, if the scheme is secure, then access to a Verify oracle does not help (and so  $\Pi$  is secure in the sense of the definition given in part (a)). To see this, note that for any query  $(m; t)$  to the Verify oracle there are 3 possibilities:

- 1  $m$  was previously queried to the Mac oracle, and response  $t$  was received. Here the adversary already knows that  $\text{Verify}_k(m; t) = 1$ .
- 2  $m$  was previously queried to the Mac oracle, and response  $t^0 \neq t$  was received. Since  $\Pi$  is deterministic, the adversary already knows  $\text{Verify}_k(m; t) = 0$ .
- 3  $m$  was not previously queried to the Mac oracle. By security of  $\Pi$ , we can argue that  $\text{Verify}_k(m; t) = 0$  with all but negligible probability because otherwise,  $m; t$  is a valid forgery. Let's prove it.



# MAC: Practice Problem (Part (b) Solution Continued)

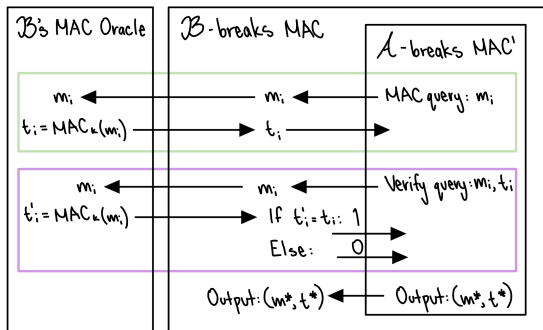
We want to show that if  $m$  was not previously queried to the Mac oracle, by security of  $\Pi$ , we can argue that  $\text{Verify}_k(m; t) = 0$  with all but negligible probability because otherwise,  $m; t$  is a valid forgery.

Let  $MAC^\theta$  be a CCA-secure MAC. Assume that  $\text{Verify}_k(m; t) = 1$ . Then there exists an adversary  $A$  that can query a message  $m$  to the verify oracle in the CCA-secure MAC scheme to obtain a valid MAC.

Now construct an adversary  $B$  that simulates the security game for  $A$  to win the  $\Pi$  security game.



# MAC: Practice Problem (Part (b) Solution Continued)



We successfully simulate the game for  $\mathcal{A}$  because its queries are accurately answered. So  $\mathcal{A}$  can produce a message that was not previously queried such that  $\text{Verify}_k(m; t) = 0$ , then so can  $\mathcal{B}$ . Contradiction.



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- Syntax:

$$H^s(x) = y$$

- A **collision** in  $H^s$  is a pair  $(x; x^\theta)$  such that  $x \neq x^\theta$  but  $H^s(x) = H^s(x^\theta)$ .
- $H$  is guaranteed to have collisions. We require that  $|y| < |x|$  ( $H$  is **compressing**).
- If it's hard to find those collisions, then the hash function is **collision-resistant**.





# CRHF: Formal Syntax

- The hash function  $H$  is a pair of algorithms:  $H = (\text{Gen}; H)$ .
- $\text{Gen}$ : outputs a random key/seed  $s$ :

$$s \leftarrow \text{Gen}(1^n)$$

The key is allowed to be public.

- $H^s$ : This is also sometimes referred to as the hash function. The output length – and sometimes the input length – are fixed.  $H^s$  is deterministic.



# CRHF: Security Game

- **Summary:** The adversary is given  $s$  and a description of  $H$ , and they try to find a collision in  $H^s$  with non-negligible probability.
- Hash-coll $_{A;H}(n)$ :
  - 1 The challenger samples a key  $s \leftarrow \text{Gen}(1^n)$  and gives  $s$  to the adversary  $A$ .
  - 2  $A$  produces two inputs  $(x; x^\theta)$  to  $H^s$ .
  - 3  $A$  wins (and the game outputs 1) if  $(x; x^\theta)$  are a collision:

$$x \neq x^\theta \text{ and } H^s(x) = H^s(x^\theta)$$

Otherwise,  $A$  loses (the game outputs 0).

- Note that the adversary can compute  $H^s$  by themselves.



- $H$  is **collision-resistant** if for any PPT adversary  $A$ , there is a negligible function  $\text{negl}$  such that:

$$\Pr[\text{Hash-coll}_{A;H}(n) = 1] \leq \text{negl}(n)$$



- The adversary in the CRHF security game is given  $s$  and a description of  $H$ , so they can compute  $H^s(x)$  on any input  $x$  of their choosing.



# CRHF: Practice Problem

- Summary: The problem shows you how to reprogram a hash function so that a given  $x$  maps to a given  $y$ , while maintaining collision-resistance.
- Source: Midterm 2, Fall 2019, Q 5.2.b



## The problem:

- Let  $H = (\text{Gen}; H)$  be a CRHF. Let  $x$  belong to the domain of  $H^s$ , and let  $y$  belong to the range of  $H^s$ .
- Next, for any  $s \in \text{Gen}(1^n)$ :

$$\text{let } H_1^s(x) = \begin{cases} \exists y & \text{if } x = x \\ H^s(x) & \text{if } x \notin x \text{ and } H^s(x) = y \\ \exists H^s(x) & \text{otherwise} \end{cases}$$

- Prove that  $(\text{Gen}; H_1)$  is a CRHF.



# CRHF: Practice Problem



## Theorem

$(\text{Gen}; H_1)$  is a CRHF.

*Proof:*

Overview:

- Assume toward contradiction that  $(\text{Gen}; H_1)$  is not a CRHF. Then there exists an adversary  $A$  that wins the CRHF game for  $H_1$  (by finding a collision in  $H_1$ ) with non-negligible probability.
- We will use  $A$  to construct an adversary  $B$  that wins the CRHF game for  $H$  with non-negligible probability.
- This is a contradiction because  $(\text{Gen}; H)$  is a CRHF. So our initial assumption was false and  $(\text{Gen}; H_1)$  is also a CRHF.





# CRHF: Practice Problem Solution

## Construction of $B$ :

- 1 In the CRHF game for  $H$ , the challenger samples  $s \leftarrow \text{Gen}(1^n)$  and gives  $s$  to the adversary  $B$ .
- 2  $B$  will run  $A$  on input  $s$  until  $A$  produces two inputs  $(x; x^\theta)$ .
- 3  $B$  makes a list of collision candidates:

$$C := f(x; x^\theta); (x; x); (x^\theta; x) g$$

and checks whether each candidate  $(x_1; x_2) \in C$  satisfies the conditions:  $x_1 \neq x_2$  and  $H^s(x_1) = H^s(x_2)$ .

- 4  $B$  outputs the first candidate  $(x_1; x_2) \in C$  that satisfies the conditions.



# CRHF: Practice Problem Solution

- Note that with non-negligible probability  $(x; x^\theta)$  will be a collision in  $H_1^S$ :

$$x \neq x^\theta \text{ and } H_1^S(x) = H_1^S(x^\theta)$$

- We will prove that in this case,  $B$  will succeed in finding a collision in  $H^S$ .



# CRHF: Practice Problem Solution

# CRHF: Practice Problem Solution

Let's assume that  $(x; x^0)$  are a collision in  $H_1^S$ . Then consider the following trivial cases:

Case 1:  $H^S(x) = y$  : In this case,  $H_1^S = H^S$ ; reprogramming the function doesn't do anything. If  $(x; x^0)$  are a collision in  $H_1^S$ , then  $(x; x^0)$  will be a collision in  $H^S$ . For the remaining cases, assume that  $H^S(x) \neq y$ .

Case 2:  $x = x^0$  or  $x^0 = x$  : This will not happen if  $(x; x^0)$  is a collision in  $H_1^S$  because  $x$  is the only input that  $H_1^S$  maps to  $y$ .

# CRHF: Practice Problem Solution

Now consider some more-interesting cases:

Case 3:  $(x; x^0) \in A$ . Then

$$H^s(x) = y = H^s(x^0)$$

so  $(x; x^0)$  are a collision in  $H^s$ .

Case 2:  $(x; x^0) \in B \cup C$ . Then

$$H^s(x) = H_1^s(x) = H_1^s(x^0) = H^s(x^0)$$

so  $(x; x^0)$  are a collision in  $H^s$ .

# CRHF: Practice Problem Solution

Case 4:  $x \in A; x^0 \in B$ . Then

$$H^s(x^0) = H^s(x)$$

so  $(x^0, x)$  are a collision in  $H^s$ .

Case 5:  $x \in B; x^0 \in A$ . Then

$$H^s(x) = H^s(x^0)$$

so  $(x, x^0)$  are a collision in  $H^s$ .

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Syntax:

$$f(x) = y$$

A function  $f : \{0, 1\}^* \rightarrow \{0, 1\}^*$  is one-way if

It's easy to compute, i.e., computing  $f(x)$  runs in probabilistic polynomial time.", but

It's hard to invert, i.e., there is no probabilistic polynomial time" algorithm that can compute  $f^{-1}(y)$ .

Note:  $\{0, 1\}^* \rightarrow \{0, 1\}^*$  means the input and output can be arbitrarily long bit strings.



How can we formally define "hard to invert"?

OWF-Security  $(n)$ :

The challenger randomly samples an input  $x \in \{0, 1\}^n$  and gives  $f(x)$  to the adversary  $A$  along with  $1^n$ .

$A$  produces a value  $x^0 \in \{0, 1\}^n$ .

$A$  wins (and the game outputs 1) if  $x^0 = x$ .

Otherwise,  $A$  loses (the game outputs 0).

The probability  $A$  wins the above game should be at most  $\text{negl}(n)$  for  $f$  to be secure.

This can be expressed equivalently as:

$$\Pr_{x \in \{0, 1\}^n} [A(1^n; f(x)) = x] \leq \text{negl}(n)$$

OWF's are "almost universal" in the sense that most cryptographic primitives imply the existence of OWFs.

If a question asks you to construct a OWF from a standard-looking primitive, you probably do it.

The only gotcha is if the given primitive is contrived, e.g. constructing a OWF from a PRPF as follows:

$$f(x_0 \parallel x_1) = F(x_0; x_1)$$

See discussion 8 for detail on why this example fails.

# OWF: Example Questions

Example questions: construct a one-way function from one of the following primitives:

A PRG  $G : \{0, 1\}^n \rightarrow \{0, 1\}^{2n}$

a CRHF (Gen, H) where  $H^s : \{0, 1\}^n \rightarrow \{0, 1\}^{n-2}$

a one-to-one function (permutation)  $F : \{0, 1\}^n \rightarrow \{0, 1\}^n$  with a hard-concentrate predicate  $b(x)$ .

# OWF: Practice Problem

Question: construct a one-way function from a CRHF (GPR) such that  $H^s : \{0, 1\}^n \rightarrow \{0, 1\}^{n-2}$ .

We'll prove the following theorem:

$f(s, x) = s \oplus H^s(x)$  is a OWF.

# Proving $(s, k, x) = s, k, H^s(x)$ is a OWF

Step 1: Stating our argument.

Suppose for the sake of contradiction that it is not a OWF.

This implies that there exists an adversary  $A$  that can win the OWF- $\text{Sec}_{A,f}(n)$  security game with nonnegl( $n$ ) probability.

We will construct an adversary  $B$  from  $A$  that wins Hash-col $_{A,H}(n)$  with nonnegl( $n$ ) probability.

# Proving $(s, k, x) = s, k, H^s(x)$ is a OWF

Step 2: Construction of  $B$ :

$B$  is given the truly random seed  $s$  from the CRHF challenger.

$B$  samples a random  $x \leftarrow \{0, 1\}^n$  and runs  $A$  on  $H^s(x)$  to obtain  $x^0$ .

If  $x = x^0$ , abort.

Otherwise, output  $(x, x^0)$  as a collision.

# Proving $(s, k, x) = s, k, H^s(x)$ is a OWF

## Step 3: Analysing B:

We need to lower bound the probability that we don't abort (i.e., the probability we win).

First, observe that the probability our random  $x$  collides with  $x^0$  by chance ( $H^s(x) = H^s(x^0)$ ) is upper bounded by the birthday bound,  $2^{-n+2}$ . Note: we have no control over the particular  $x^0$  that A got from inverting  $f(x)$ , but the  $x$  that B sampled itself is uniformly random, meaning that chance  $x = x^0$  is still random even if A doesn't choose  $x^0$  randomly.

Conditioned on the above not happening, the probability that  $x \notin x^0$  is at least  $1 - 2^{-n+2}$ . This follows from the fact that  $H$  takes  $n$  bits to  $n-2$  bits, implying  $\Pr[x = x^0] = \frac{1}{2^{n-2}} < \frac{1}{2}$ .

Putting these two points together:

$$\Pr[x \notin x^0 \mid H^s(x) = H^s(x^0)] \geq \frac{1}{2} - \frac{1}{2^{n-2}}:$$

# Proving $(s, k, x) = s, k, H^s(x)$ is a OWF

Step 4: Wrapping up:

We proved that we don't abort probability  $\frac{1}{2} - \frac{1}{2^{n-2}}$ .

In the case that  $\mathcal{B}$  doesn't abort, it follows from the construction that  $(x; x^0)$  are a valid collision.

Thus,

$$\begin{aligned} & \Pr[\text{Hash-coll}_{\mathcal{B};H}(n) = 1] \\ &= \Pr[\text{OWF-Sec}_{\mathcal{A};f}(n) = 1] \cdot \Pr[x \neq x^0 \mid H^s(x) = H^s(x^0)] \\ &= \text{nonnegl}(n) \cdot \frac{1}{2} - \frac{1}{2^{n-2}} \\ &= \text{nonnegl}^0(n) \end{aligned}$$

In summary, given an adversary  $\mathcal{A}$  that wins  $\text{OWF-Sec}_{\mathcal{A};f}(n)$  with non-negligible probability  $\mathcal{B}$  wins  $\text{Hash-coll}_{\mathcal{B};H}(n)$  with non-negligible probability, which is a contradiction.



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# Public Key Encryption: Definition

(The syntax and most properties are very similar to private/symmetric key encryption that we've seen earlier.)

A PKE scheme consists of three PPT algorithms ( $Gen; Enc; Dec$ ) where

- $Gen(1^n) \vdash (\mathbf{sk}; \mathbf{pk})$
- $Enc(\mathbf{pk}; m) \vdash c$
- $Dec(\mathbf{sk}; c) \vdash m = ?$

and these satisfy two properties

- **Correctness:**  $Dec(\mathbf{sk}; Enc(\mathbf{pk}; m)) = m$ .
- **Security:** EAV = CPA security / CCA security



- Challenger samples  $(sk; pk) \leftarrow Gen(1^n)$  and gives  $pk$  to  $A$ .
- $A$  outputs two messages  $m_0; m_1$ .
- Challenger samples a bit  $b \in \{0, 1\}$  and outputs  $Enc(pk; m_b)$ .
- $A$  outputs  $b^\theta$  as a guess for  $b$ .

CPA-secure if for all PPT  $A$

$$\Pr[b^\theta = b] \leq \frac{1}{2} + \text{negl}(n)$$

## Intuition

Looking at the ciphertext should not reveal which message was encrypted.



- $pk$  is given to the adversary, so no encryption oracle is needed –  $A$  can locally encrypt whatever it wants.
- $sk$  is unknown, so decryption is not possible – CCA game for PKE gives access to a decryption oracle to  $A$ .
- Most proof techniques are similar to that of private key encryption schemes:
  - Show that a certain scheme is not CPA/CCA secure – construct an adversary for the game that is able to figure out which message was encrypted.
  - Show that a certain scheme is secure – often relies on the security of some other primitive ! *Proof by contradiction.*



# PKE Example: El Gamal Encryption

PKE scheme based on DDH.

- $Gen(1^n)$ : Generate cyclic group  $\mathbb{G}$  of order  $q$  and a generator  $g$ .  
Sample  $x \in \mathbb{Z}_q$  and  $h = g^x$ .  
**Output**  $pk = (\mathbb{G}; q; g; h); sk = x$
- $Enc(pk; m) \rightarrow (c_1; c_2)$ : Sample  $r \in \mathbb{Z}_q$ .  
**Output**  $(c_1; c_2) = (g^r; m \cdot h^r)$
- $Dec(sk; (c_1; c_2)) \rightarrow m$ : **Output**  $m = \frac{c_2}{c_1^x}$

## Correctness

$$Dec(sk; Enc(pk; m)) = Dec(sk; (g^r; mh^r)) = \frac{mh^r}{(g^r)^x} = \frac{mh^r}{h^r} = m$$



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# Key Exchange

Consists of three randomized algorithms  $(P_1; P_2; P_3)$ :

- 1 Alice computes  $(m_1; st) \leftarrow P_1(1^n)$  and sends  $m_1$  to Bob.
  - 2 Bob computes  $(m_2; k) \leftarrow P_2(m_1)$ . Then he sends  $m_2$  to Alice and outputs  $k$ .
  - 3 Alice computes  $k \leftarrow P_3(st; m_2)$  and outputs  $k$ .
- **Correctness:** Both parties get the same key  $k$ .
  - **Security:** No eavesdropper can distinguish between  $(m_1; m_2; k)$  and  $(m_1; m_2; r)$  where  $r$  is a random element.



# Problem: Key exchange from CPA-Secure PKE

## Question

Given a PKE scheme  $(Gen; Enc; Dec)$ , construct a secure key exchange scheme  $(P_1; P_2; P_3)$ .





# Problem: Key exchange from CPA-Secure PKE

## Question

Given a PKE scheme  $(Gen; Enc; Dec)$ , construct a secure key exchange scheme  $(P_1; P_2; P_3)$ .

## Construction

$P_1(1^n)$ : Run  $Gen(1^n) ! (sk; pk)$ . Return  $(m_1; st) = (pk; sk)$ .

$P_2(m_1)$ : Sample random  $r$  and run  $Enc(m_1; r) ! c$ .  
Return  $(m_2; k) = (c; r)$ .

$P_3(m_2; st)$ : Run  $Dec(st; m_2) ! r^0$  and return  $r$ .



# Solution: Key exchange from CPA-Secure PKE

**By contradiction:** Suppose the Key exchange scheme is not secure. Then we have  $A$  that can distinguish  $(m_1; m_2; k)$  from  $(m_1; m_2; r)$  where  $r$  is random.

We'll construct  $B$  for the CPA game that distinguishes between encryptions of  $m_0$  or  $m_1$ .

